



# ROADWAYS INDIA LIMITED

Registered & Corporate Office : 11/5B, First Floor, Pusa Road, New Delhi – 110 005

Tel. : 011- 47192065, 66, 67, 69 Fax : 011-25815456

E-mail : corporate@roadwaysindia.com, Website : www.roadwaysindia.com

CIN : L63090DL1987PLC319212

Date: 20<sup>th</sup> January, 2020

THROUGH COURIER/E-MAIL

Scrip Code: Roadways <b>Metropolitan Stock Exchange of India Limited</b> Vibgyor Tower, 04th Floor, Plot No C62, G Block, Bandra Kurla Complex, Bandra (E), Mumbai 400098	Scrip Code: 28160 <b>The Calcutta Stock Exchange</b> 7, Lyons Range Kolkata-700001
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Sub: Submission of Shareholding Pattern for the 3<sup>rd</sup> Quarter ended 31<sup>st</sup> December, 2019

Dear Sir/ Madam,

Pursuant to provisions of Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015, please find enclosed herewith Shareholding Pattern of the Company for the 3<sup>rd</sup> Quarter ended 31<sup>st</sup> December, 2019.

Kindly take the same on your record and oblige.

Thanking you.

Yours faithfully,

For Roadways India Limited



Himani Gusain

Company Secretary & Compliance Officer





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Annexure -I

## Format of holding of specified securities

1. Name of Listed Entity: Roadways India Limited
2. Scrip Code/Name of Scrip/Class of Security : Roadways
3. Share Holding Pattern Filed under: 31(1)(b)
  - a. If under 31(1)(b) then indicate the report for Quarter ending: 31<sup>st</sup> December, 2019
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment: N.A.
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sr. No.	Particulars	Yes	No*
1.	Whether the Listed Entity has issued any partly paid up shares?		No
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4.	Whether the Listed Entity has any shares in locked-in?		No
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6.	Whether company has equity shares with differential voting rights?		No
7.	Whether the Listed Entity has any significant beneficial owner?	Yes	

\*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-





Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	Nos. of shares held (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (viii) + (x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class eg: X	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	7	2563200	0	0	2563200	72.81			0	72.81	0		0		2563200
(B)	Public	2834	957000	0	0	957000	27.19			0	27.19	0		0		191399
(C)	Non Promoter-Non Public	-	-	-	-	-	-			-	-	-		NA		0
(C1)	Shares underlying DRs	-	-	-	-	-	-			-	N.A.	-		NA		0
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-			-	0	-		NA		0
	Total	2841	3520200	0	0	3520200	100.00			0	100	0		0		2754599





Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

(1)	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total share nos. held (VII= IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding %, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									Class X	Class Y	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(a)	Indian Individuals/Hindu		5		0		1656100	47.05					0	47.05	0		0		1656100
	MAHENDER KUMAR GOYAL HUF	AACHM8304D		389300	0		389300	11.06					0	11.06	0		0		389300
	MAHENDER KUMAR GOYAL	AEAPG9550R		366300	0		366300	10.41					0	10.41	0		0		366300
	AMIT GOYAL	AKAPG8969Q		359500	0		359500	10.21					0	10.21	0		0		359500
	ANITA GOEL	ADVPG8550D		359100	0		359100	10.20					0	10.20	0		0		359100
	VINEET GOYAL	AQUPG3769N		181900	0		181900	5.17					0	5.17	0		0		181900
(b)	Central Government/ State Government(s)		0	0	0		0	0					0	0	0		0		0
(c)	Name(xyz...) Financial Institutions/Banks		0	0	0		0	0					0	0	0		0		0
(d)	Name(xyz...) Any Other (Body)		2				907100	25.77					0	25.77	0		0		907100
	TRIPLERANK MARKETING PVT LTD	AAABCT1032D		481300	0		481300	13.67					0	13.67	0		0		481300
	DULUCK INVESTMENT AND LEASING PRIVATE LIMITED	AAACD0876G		425800	0		425800	12.1					0	12.1	0		0		425800





	Sub-Total(A)/(1)	7	2563200	0	2563200	72.81	0	72.81	0	0	2563200
(2)	Foreign										
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0
(b)	Government Name(XYZ...)	0	0	0	0	0	0	0	0	0	0
(c)	Institutions Name(XYZ...)	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor Name(XYZ...)	0	0	0	0	0	0	0	0	0	0
(f)	Any Other (specify) Name(XYZ...)	0	0	0	0	0	0	0	0	0	0
	Sub-Total(A)/(2)										
	Total	7	2563200	0	2563200	72.81	0	72.81	0	0	2563200
	Shareholding of Promoter and Promoter Group (A)=(A)/(1)+(A)/(2)										

Details of Shares which remain unclaimed may be given here along with details of such shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

**Note:**


- (1) PAN would not be displayed on website of Stock Exchange(s).  
(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.





Table III - Statement showing shareholding pattern of the Public shareholder

(1)	Category & Name of the Shareholders (i)	PAN (ii)	Nos. of shares held (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding g. as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights						No. (a)	As a % of total Shares held (b)			
									Class X	Class Y	Total								
(a)	Mutual Funds/ Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(b)	Venture Capital Funds Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(c)	Alternate Investment Funds Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(d)	Foreign Venture Capital Investors Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(e)	Foreign Portfolio Investors Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(f)	Financial Institutions/Banks Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(g)	Insurance Companies Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(h)	Provident Funds/ Pension Funds Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(i)	Any Other (specify) Name(Xyz)		0	0	0		0	0					0	0	0		NA	NA	0
(2)	Sub-Total(B)(1)		0	0	0		0	0					0	0	0		0	0	0
	Central Government/ State Government(s)/ President of India Name(Xyz)																		
	Sub-Total(B)(2)																NA	NA	
(3)	Non-institutions																NA	NA	







(a)	Individuals- i. Individual shareholders holding nominal share capital up to Rs. 2lakhs. ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2814	833520	0	0	833520	23.68					0	23.68	0	NA	116619
	Name		3	91900	0	0	91900	2.61					0	2.61	0	NA	53200
	KIRAN CH	AADHR6 836E					38700	1.1					0	1.1	0	NA	
	SAROJ SHARMA	AUSPSS55 30J					30200	0.86					0	0.86	0		30200
	RAM GOPAL SHARMA	BDRPS7 536N					23000	0.65					0	0.65	0		23000
(b)	NBFCs registered with RBI															NA	
	Name(Xyz)															NA	
(c)	Employee Trusts															NA	
	Name(Xyz)															NA	
(d)	Overseas Depositories (holding DRs) (balancing figure)															NA	
	Name(Xyz)															NA	
(e)	Any Other																
(e1)	Body Corporate		10	29700			29700	0.84					0	0.84	0	NA	19900





ARC INFRASTRUCTURES PRIVATE LIMITED	AAECA3 648M	10000	0	0	10000	0.28				0	0.28	0	NA	10000
ABC FINANCIAL SERVICES PVT. LTD.	AACCA4 066B	6200	0	0	6200	0.18				0	0.18	0		6200
VIKRANT INVESTMENTS & IMPEX LTD	AAACV6 813H	6200	0	0	6200	0.18				0	0.18	0		0
TCI FINANCE LTD	AABCT7 588A	1300	0	0	1300	0.04				0	0.04	0		1300
B SESHAGIRI RAO & SONS INDUSTRIES LTD (Jasper Industries Private Limited)	AAACJ57 60H	1300	0	0	1300	0.04				0	0.04	0		0
INTRICAST PVT LTD	AAACI46 81E	1300	0	0	1300	0.04				0	0.04	0		0
KARVY STOCK BROKING LIMITED-DS NAGAR	AABCK5 190K	1700	0	0	1700	0.05				0	0.05	0		1700
3A CAPITAL SERVICES LIMITED	AAACZ2 547A	200	0	0	200	0.01				0	0.01	0		200
XL SOFTECH SYSTEMS LIMITED	AAACX0 086A	1000	0	0	1000	0.03				0	0.03	0		-
PLUTUS CAPITAL MANAGEMENT LLP	AASFP68 35A	500	0	0	500	0.01				0	0.01	0		500
(e2) (Non Resident Indian)		2			900	0.03				0	0.03	0		900
SUBHENDU BOSE	AGUPB5 186C	400			400	0.01				0	0.01	0		400
Ankur Gupta	ACGPG2 182H	500			500	0.01				0	0.01	0		500
(e3) Resident Indian HUF		5			980	0.03					0.03	0		780
Sub-Total(B)(3)		2834			957000	27.19				0	27.19	0	NA	191399
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		2834			957000	27.19				0	27.19	0	NA	191399





Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons:  
Institutions/Non Institutions holding more than 1% of total number of shares
- (3) With the information pertaining to Depository Receipts, the same may be disclosed in the respective column to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % as a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total of Voting rights as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding in full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
								Class X	Class Y	Total				No.	As a % of total shares held	No.	As a % of total shares held	
(1) Custodian/DR Holder						NA												
(a) Name of DR Holder (if available)						NA												
(i) abc....						NA												
(ii) efg....						NA												
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)						NA												
(a) Name/abc....						NA												
Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)						NA												

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons:  
Institutions/Non Institutions holding more than 1% of total number of shares
- (3) With the information pertaining to Depository Receipts, the same may be disclosed in the respective column to the extent information available.





Table V

Sr.N o.	Details of SBO			Details of the registered owner			Details of holding/exercise of right of the SBO			Date of creation/ acquisition of significant beneficial interest
	Name	PAN	Nationality	Name	PAN	Nationality	Shares	Whether by virtue of: Exercise of Control	Exercise of significant influence	
1	Mahender Kumar Goyal	AEAPG9550R	India	Mahender Kumar Goyal HUF	AACHM8304D	India	10.41	-	-	08-02-2019
2	Mahender Kumar Goyal	AEAPG9550R	India	Duluck Investment and Leasing Private Limited	AAACD0876G	India	21.47	-	Yes	08-02-2019



*H. Manu*  
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